

# Yamabe constants, Yamabe invariants and Gromov-Lawson surgeries

Overview over (joint) work with Emmanuel Humbert,  
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<https://ammann.app.uni-regensburg.de/talks/gromov-lawson.pdf>

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## Einstein-Hilbert functional

Let  $M$  be a compact  $n$ -dimensional manifold,  $n \geq 3$ .

$\mathcal{R}(M) := \{\text{Riem. metrics on } M\}$ .

The **renormalised Einstein-Hilbert functional** is

$$\mathcal{E}_M : \mathcal{R}(M) \rightarrow \mathbb{R}, \quad \mathcal{E}_M(g) := \frac{\int_M \text{scal}^g \, \text{dvol}^g}{\text{vol}(M, g)^{(n-2)/n}}$$

$$[g_0] := \{u^{4/(n-2)} g_0 \mid u > 0\}.$$

$\{\text{Stationary points of } \mathcal{E}_M : [g_0] \rightarrow \mathbb{R}\} = \{\text{metrics with constant scalar curvature}\}$

$\{\text{Stationary points of } \mathcal{E}_M : \mathcal{R}(M) \rightarrow \mathbb{R}\} = \{\text{Einstein metrics}\}$

## (Conformal) Yamabe constant

The (conformal) Yamabe constant is defined as

$$Y_M([g]) := Y(M, [g]) := \inf_{\tilde{g} \in [g]} \mathcal{E}_M(\tilde{g}) > -\infty.$$

If  $\mathbb{S}^n$  denote the sphere with the standard structure, then

$$Y_M([g]) \leq Y(\mathbb{S}^n).$$

**Yamabe problem**  $\mathcal{E}_M : [g] \rightarrow \mathbb{R}$  attains its infimum.

Minimizers have  $\text{scal} = c$ .

Proven by Trudinger 1968, Aubin 1976, Schoen (&Yau)  $\approx$  1984

**Remark**

$Y_M([g]) > 0$  if and only if  $[g]$  contains a metric of positive scalar curvature. Then the space of psc metrics in  $[g]$  is contractible.



# Reformulation and non-compact manifolds

Let  $g = u^{4/(n-2)}g_0$ ,  $g_0$  a complete metric on  $M$ .

Define **Yamabe operator**  $L^{g_0} := 4\frac{n-1}{n-2}\Delta^{g_0} + \text{scal}^{g_0}$ .

$$\tilde{Y}_M(g_0) := \inf \left\{ \frac{\int_M u L^{g_0} u \, d\text{vol}^{g_0}}{\|u\|_{L^{2n/(n-2)}(M, g_0)}^2} \mid 0 \neq u \in C_c^\infty(M, [0, \infty)) \right\}$$

For compact  $M$  we have

$$Y_M([g_0]) = \tilde{Y}_M(g_0).$$

For **non-compact**  $M$  we use this as a definition.

↷ related work on  $Y_M(g)$  for  $M$  non-compact by Akutagawa, Große, Ammann&Große, and others.



# Obata's theorem

## Theorem (Obata, 1971)

*Assume:*

- ▶  $M$  is connected and compact,  $n = \dim M \geq 3$
- ▶  $g_0$  is an Einstein metric on  $M$
- ▶  $g = u^{4/(n-2)} g_0$  with  $\text{scal}^g$  constant
- ▶  $(M, g_0)$  not conformal to  $\mathbb{S}^n$

*Then  $u$  is constant.*

## Conclusion

$$\mathcal{E}_M(g_0) = Y(M, [g_0])$$

This conclusion also holds on  $M$  compact if  $g_0$  is a non-Einstein metric with  $\text{scal} = \text{const} \leq 0$  (Maximum principle).

So in these two cases, we have determined  $Y(M, [g_0])$ .

However, in general, it is difficult to get explicit “good” lower bounds for  $Y(M, [g_0])$ .

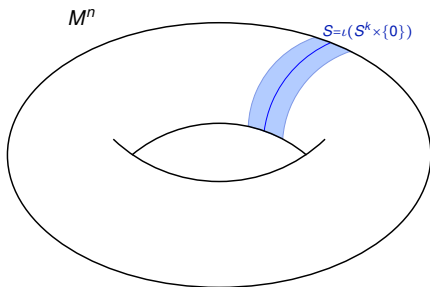


## Recap: Surgery

We consider an embedding of  $\iota: S^k \times D^{n-k} \hookrightarrow M^n$ .

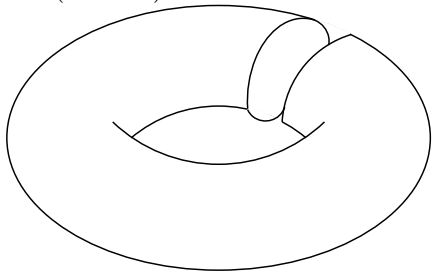
Define  $M^\# := \left( M \setminus \iota(S^k \times \overset{\circ}{D}^{n-k}) \right) \cup_{S^k \times S^{n-k-1}} \left( D^{k+1} \times S^{n-k-1} \right)$ .

We say:  $M^\#$  arises by  $k$ -dimensional surgery from  $M$ .



Picture for  $n=2, k=1$

$$M^n \setminus \iota(S^k \times \mathring{D}^{n-k})$$



Picture for  $n=2, k=1$



## Gromov-Lawson surgery for Yamabe constants

Assume that  $M^\#$  arises from  $M$  by a surgery of dimension  $k \leq n - 3$ .

For  $\tau \in (0, \infty)$  and  $g \in \mathcal{R}(M)$  we define a metric  $\mathcal{GL}_\tau(g) \in \mathcal{R}(M^\#)$ .

### Theorem A (Ammann&Dahl&Humbert (2013))

*There is a constant  $\Lambda_{n,k} > 0$  with:*

$$Y_{M^\#}(\mathcal{GL}_\tau(g)) \geq \min\{Y_M(g), \Lambda_{n,k}\} - o_\tau(1).$$

- ▶ Our metric  $\mathcal{GL}_\tau(g)$  is similar to the Gromov-Lawson construction for positive scalar curvature metrics.
- ▶ Technical implementation differs.
- ▶ Special cases were known, e.g. a version with 0 instead  $\Lambda_{n,k} > 0$  is due to Petean, the  $k = 0$ -case is due to O. Kobayashi, and the perservation of positivity is the classical Gromov&Lawson/Schoen&Yau result about psc-preserving surgeries.



## Technical implementation

We write close to  $S := \iota(S^k \times \{0\})$ ,  $r(x) := d(x, S)$

$$g \approx g|_S + dr^2 + r^2 g_{\text{round}}^{n-k-1}$$

where  $g_{\text{round}}^{n-k-1}$  is the round metric on  $S^{n-k-1}$ .

$t := -\log r$ .

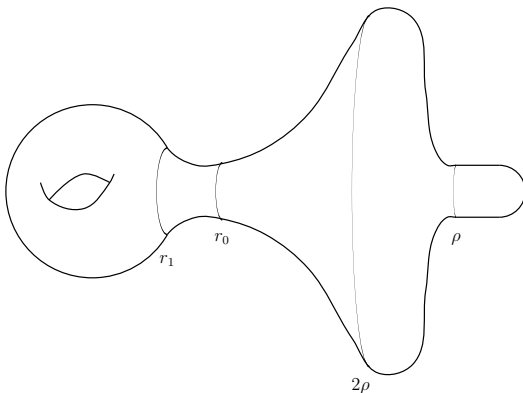
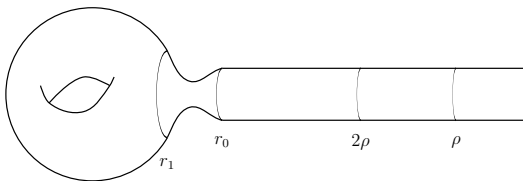
$$\frac{1}{r^2} g \approx e^{2t} g|_S + dt^2 + g_{\text{round}}^{n-k-1}$$

We define a metric

$$g_{\mathcal{L}_\tau}(g) = \begin{cases} g & \text{for } r > r_1 \\ \frac{1}{r^2} g & \text{for } r \in (2\rho, r_0) \\ f^2(t)g|_S + dt^2 + g_{\text{round}}^{n-k-1} & \text{for } r < 2\rho \end{cases}$$

that extends to a metric on  $M^\#$ .





$g_\rho = g$        $g_\rho = F^2 g$

$S^{n-k-1}$  has constant length

## Spaces of metrics with Yamabe constants above $\lambda$

$$Y_M^{-1}((\lambda, \infty)) := \{g \in \mathcal{R}(M) \mid Y_M([g]) > \lambda\}$$

$$\begin{aligned} Y_M^{-1}((0, \infty)) &:= \{g \in \mathcal{R}(M) \mid Y_M([g]) > 0\} \\ &= \{g \in \mathcal{R}(M) \mid [g] \text{ contains a psc metric}\} \\ &\simeq \mathcal{R}_+(M) := \{g \in \mathcal{R}(M) \mid \text{scal}^M > 0\} \end{aligned}$$

## Parametrized version of Theorem A

Assume that  $M^\#$  is obtained by a  $k$ -dimensional surgery from  $M$ .

$$Y_M^{-1}((\lambda, \infty)) \xrightarrow{\mathcal{GL}} Y_{M^\#}^{-1}((\lambda, \infty))$$

A generalized Chernysh-Walsh result follows then with the same analytical tools as in Thm. A = ADH 2013.

### Theorem B (A. 2022, in prep.)

*The map  $\mathcal{GL} : Y_M^{-1}((\lambda, \infty)) \rightarrow Y_{M^\#}^{-1}((\lambda, \infty))$  is a (weak) homotopy equivalence for  $2 \leq k \leq n - 3$ .*



## The constants $\Lambda_{n,k}$

Obviously  $\Lambda_{n,k} > 0$  is **not** unique, the larger the better.  
Unless  $n = k + 3 \geq 7$ , our result holds for

$$\Lambda_{n,k} := \inf_{c \in [0,1]} Y(\mathbb{H}_c^{k+1} \times \mathbb{S}^{n-k-1}),$$

where  $\mathbb{H}_c^{k+1}$  is the simply connected complete Riemannian manifolds of dimension  $k + 1$  with  $\text{sec} = -c^2$ .

$$\Lambda_n := \min\{\Lambda_{n,0}, \Lambda_{n,1}, \dots, \Lambda_{n,n-3}\}$$

Examples:

$$\Lambda_4 \geq 38.9, Y(\mathbb{S}^4) = 61.562 \dots$$

$$\Lambda_5 \geq 45.1, Y(\mathbb{S}^5) = 78.996 \dots$$



## The constants $\Lambda_{n,k}$ (ct'd)

In most cases we get some explicit values for  $\Lambda_{n,k} > 0$ :

$n$	$k$	known $\Lambda_{n,k}$	conjectured $\Lambda_{n,k}$	$Y(\mathbb{S}^n)$
3	0	43.82323	43.82323	43.82323
4	0	61.56239	61.56239	61.56239
4	1	$\geq 38.9$	59.40481	61.56239
5	0	78.99686	78.99686	78.99686
5	1	$\geq 51.2$	78.18644	78.99686
5	2	$\geq 45.1$	75.39687	78.99686

The **blue values** rely on special investigations by Petean and Ruiz.



$n$	$k$	known $\Lambda_{n,k}$	conjectured $\Lambda_{n,k}$	$Y^{(n)}$
6	0	96.29728	96.29728	96.29728
6	1	$> 0$	95.87367	96.29728
6	2	$\geq 54.77$	94.71444	96.29728
6	3	$\geq 49.98$	91.68339	96.29728
7	0	113.5272	113.5272	113.5272
7	1	$> 0$	113.2670	113.5272
7	2	$\geq 74.50$	112.6214	113.5272
7	3	$\geq 74.50$	111.2934	113.5272
7	4	$> 0$	108.1625	113.5272

► More values for  $\Lambda_{n,k}$

► To Conjectures

For  $n \geq 7$ , there are still problems with the explicit values for  $k = 1$  and  $k = n - 3$ .





# (Smooth) Yamabe invariant

For  $M$  compact:

$$\sigma(M) := \sup_{[g] \in \mathcal{R}(M)} Y(M, [g]) \in (-\infty, Y(S^n)]$$

smooth Yamabe invariant. (Introduced by O. Kobayashi and R. Schoen)

## Remark

$M$  carries a psc metric  $\Leftrightarrow \sigma(M) > 0$



## Supreme Einstein metrics

Following LeBrun, we say a Riemannian Einstein metric  $g$  on a closed manifold  $M$  is a **supreme Einstein metric** if

$$\mathcal{E}_M(g) = Y_M([g]) = \sigma(M).$$

The following Riem. manifolds are supreme Einstein:

- ▶ Round spheres **trivial**
- ▶ Flat tori (Gromov&Lawson, Schoen&Yau  $\approx$ ' 83)  
**E.g. enlargeable Manifolds**
- ▶  $\mathbb{R}P^3$  (Bray&Neves '04) **Inverse mean curvature flow**
- ▶ Compact quotients of 3-dim. hyperbolic space (Perelman, M. Anderson '06 (sketch), Kleiner&Lott '08) **Ricci flow**
- ▶  $(\mathbb{C}P^2, g_{FS})$  (LeBrun) **Seiberg-Witten theory, index theory  $\leadsto$  next talk**

If our conjectured values for  $\Lambda_{n,k}$  hold, then  $(\mathbb{C}P^3, g_{FS})$  is not a supreme Einstein metric.



## Manifolds with $0 < \sigma(M) < \Lambda_n$

Are there  $M$  with

$$0 < \sigma(M) < \Lambda_n := \min\{\Lambda_{n,0}, \dots, \Lambda_{n,n-3}\}?$$

### Conjecture (Schoen)

*If the finite group  $\Gamma \subset SO(n+1)$  acts freely on  $S^n$ , then the round metric  $g_{\text{round}}^n$  on  $S^n/\Gamma$  is a supreme Einstein metric.*

The conjecture would imply

$$\begin{aligned} \mathcal{E}_{S^n/\Gamma}(g_{\text{round}}^n) &= Y(S^n/\Gamma, g_{\text{round}}^n) = \sigma(S^n/\Gamma) \\ &= n(n-1) \frac{\text{vol}(S^n)^{2/n}}{(\#\Gamma)^{2/n}} \xrightarrow{\#\Gamma \rightarrow \infty} 0. \end{aligned}$$

Unfortunately, only known for  $\Gamma = \{1\}$  and  $\mathbb{R}P^3$ .



# A Monotonicity formula for surgery

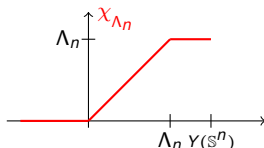
Corollary (ADH, follows from Theo. A)

Let  $M^\#$  be obtained from  $M$  by  $k$ -dimensional surgery,  $0 \leq k \leq n-3$ . Then

$$\sigma(M^\#) \geq \min\{\sigma(M), \Lambda_{n,k}\}$$

We define  $\Lambda_n := \min\{\Lambda_{n,0}, \dots, \Lambda_{n,n-3}\}$  and

$$\chi_{\Lambda_n}(t) := \max\{\min\{t, \Lambda_n\}, 0\}.$$



For the truncated Yamabe invariant  $\chi_{\Lambda_n}(\sigma(M))$  we have

$$\chi_{\Lambda_n}(\sigma(M^\#)) \geq \chi_{\Lambda_n}(\sigma(M))$$

and we have equality for  $2 \leq k \leq n-3$ .

## Bordism results

Let  $n \geq 5$ ,  $\Gamma$  finitely presented

Bordism techniques (Gromov-Lawson, Stolz,...) and Theorem A yield a well-defined map

$$\begin{aligned} s_\Gamma : \Omega_n^{\text{spin}}(B\Gamma) &\rightarrow \mathbb{R} \\ [M, f] &\mapsto \chi_{\Lambda_n}(\sigma(M)) \end{aligned}$$

where we chose a representative with a connected non-empty  $M$  and  $f_* : \pi_1(M) \rightarrow \Gamma$  bijective.

$$s_\Gamma(a + b) \geq \min\{s_\Gamma(a), s_\Gamma(b)\}$$

We get subgroups  $s_\Gamma^{-1}((\lambda, \infty)) \subset \Omega_n^{\text{spin}}(B\Gamma)$ .



## Descend to $ko(B\Gamma)$

Recall from index theory

$$\Omega_n^{\text{spin}}(B\Gamma) \xrightarrow{D} ko_n(B\Gamma) \xrightarrow{\text{per}} KO_n(B\Gamma) \xrightarrow{A} KO_n(C^*\Gamma)$$

## Descend to $ko(B\Gamma)$

Recall from index theory

$$\begin{array}{ccccccc} \Omega_n^{\text{spin}}(B\Gamma) & \xrightarrow{D} & ko_n(B\Gamma) & \xrightarrow{\text{per}} & KO_n(B\Gamma) & \xrightarrow{A} & KO_n(C^*\Gamma) \\ & \searrow & \vdots & \text{?} & \vdots & & \vdots \\ & & & \text{?} & & & \text{?} \\ & & & & & & \text{?} \\ & \searrow & & & & & \\ & & \mathbb{R} & & & & \end{array}$$

$S_\Gamma$

## Descend to $ko(B\Gamma)$

Recall from index theory

$$\begin{array}{ccccccc} \Omega_n^{\text{spin}}(B\Gamma) & \xrightarrow{D} & ko_n(B\Gamma) & \xrightarrow{\text{per}} & KO_n(B\Gamma) & \xrightarrow{A} & KO_n(C^*\pi) \\ & \searrow & \downarrow \hat{s}_\Gamma & \swarrow ? & \swarrow ? & \searrow ? & \\ & & \mathbb{R} & & & & \end{array}$$

The diagram shows a commutative-like structure of maps. A solid arrow labeled  $s_\Gamma$  goes from  $\Omega_n^{\text{spin}}(B\Gamma)$  to  $\mathbb{R}$ . A solid arrow labeled  $\hat{s}_\Gamma$  goes from  $ko_n(B\Gamma)$  to  $\mathbb{R}$ . Dashed arrows with question marks connect  $KO_n(B\Gamma)$  and  $KO_n(C^*\pi)$  to  $\mathbb{R}$ .

### Theorem C (Ammann&Otoba, in prep.)

*For a slightly adapted constant  $\Lambda_n$ , the truncated Yamabe invariant descends to a map  $ko_n(B\Gamma) \rightarrow \mathbb{R}$ .*

### Idea of proof

One has to study Yamabe invariants of

$$\ker(\Omega_n^{\text{Spin}}(B\Gamma) \xrightarrow{D} ko_n(B\Gamma)).$$

Given by Baas-Sullivan singular manifolds, obtained by gluing of multi- $\mathbb{H}P^2$ -bundles, see work by Hanke.





# Interpretations of Theorem B

## Theorem B'

*Let  $\lambda \in [0, \Lambda_{n,k})$ . The map  $\mathcal{GL} : Y_M^{-1}((\lambda, \infty)) \rightarrow Y_{M^\#}^{-1}((\lambda, \infty))$  is well-defined (up to homotopy) for  $0 \leq k \leq n-3$  and is a (weak) homotopy equivalences for  $2 \leq k \leq n-3$ .*

In fact these maps and the associated homotopies are compatible with the inclusion associated to  $\lambda \geq \tilde{\lambda}$ .

Thus we get a morphism of “filtered topological spaces”

$$\mathcal{GL} : (Y_M^{-1}((\lambda, \infty)))_{\lambda \in [0, \Lambda_{n,k})} \rightarrow (Y_{M^\#}^{-1}((\lambda, \infty)))_{\lambda \in [0, \Lambda_{n,k})},$$

which are “filtered homotopy equivalences” for  $2 \leq k \leq n-3$ .

# Higher Yamabe invariants

Yamabe invariant  $\sigma(M) := \sup \{ \lambda \in \mathbb{R} \mid Y_M^{-1}((\lambda, \infty)) \neq \emptyset \}$



# Higher Yamabe invariants

**Yamabe invariant**  $\sigma(M) := \sup \{ \lambda \in \mathbb{R} \mid Y_M^{-1}((\lambda, \infty)) \neq \emptyset \}$   
 $\pi_{-1}(\emptyset) = \emptyset, \quad \pi_{-1}(\underbrace{\mathcal{S}}_{\neq *}) = \{*\}$

Functor from

$$\begin{aligned} (\mathbb{R}, \geq) &\longrightarrow (\{\emptyset, \{*\}\}, \text{maps}) \\ \lambda &\longmapsto \pi_{-1}(Y_M^{-1}((\lambda, \infty))) \\ \lambda \geq \tilde{\lambda} &\longmapsto \pi_{-1}(\hookrightarrow) \end{aligned}$$

So far: nothing than a **very complicated way** to characterize a **real number!**



# Higher Yamabe invariants

Truncated Yamabe invariant  $\chi_{\Lambda_n}(\sigma(M))$

$$\pi_{-1}(\emptyset) = \emptyset, \quad \pi_{-1}(\underbrace{\mathbf{S}}_{\neq *}) = \{*\}$$

Essentially a functor from

$$\begin{aligned} ([0, \Lambda_n], \geq) &\longrightarrow (\{\emptyset, \{*\}\}, \text{maps}) \\ \lambda &\longmapsto \pi_{-1}(Y_M^{-1}((\lambda, \infty))) \\ \lambda \geq \tilde{\lambda} &\longmapsto \pi_{-1}(\hookrightarrow) \end{aligned}$$

So far: nothing than a **very complicated way** to characterize a number in  $[0, \Lambda_n]$ !



# Higher Yamabe invariants, ct'd

**Higher Yamabe invariant**  $\chi_{\Lambda_n}(\sigma^k(M))$ ,  $k \in \mathbb{N} \cup \{0\}$ . For  $k = 0$  we get a functor from

$$\begin{aligned} ([0, \Lambda_n), \geq) &\xrightarrow{\chi_{\Lambda_n}(\sigma^k)} (\text{sets, maps}) \\ \lambda &\longmapsto \pi_0(Y_M^{-1}((\lambda, \infty))) \\ \lambda \geq \tilde{\lambda} &\longmapsto \pi_0(\hookrightarrow) \end{aligned}$$

For  $k > 1$  we get a functor from

$$\begin{aligned} ([0, \Lambda_n), \geq) &\xrightarrow{\chi_{\Lambda_n}(\sigma^k)} (\text{grps}^{\pi_0}, \text{hom}^{\pi_0}) \\ \lambda &\longmapsto \pi_k(Y_M^{-1}((\lambda, \infty))) \\ \lambda \geq \tilde{\lambda} &\longmapsto \pi_k(\hookrightarrow) \end{aligned}$$

For  $k = 1$ : similar with conjugacy classes.



Theorem B implies that all higher (truncated) Yamabe invariants are invariant under suitable bordisms, i.e. those that can be decomposed in surgeries of dimension  $k \in \{2, 3, \dots, n-3\}$ .

We expect – but we are far from a proof – that the higher Yamabe invariants of  $\mathbb{C}P^3$  are non-trivial for  $82.986 \leq \lambda \leq 96.297$ .



# Sketch of Proof for Theorem A

Theorem A (Ammann&Dahl&Humbert (2013))

There is a constant  $\Lambda_{n,k} > 0$  with:

$$Y_{M^\#}(\mathcal{GL}_\tau(g)) \geq \min\{Y_M(g), \Lambda_{n,k}\} - o_\tau(1).$$

Assume we have  $\tau_i \rightarrow \infty$  with  $g_i := \mathcal{GL}_{\tau_i}(g)$  and

$$\lambda_\infty := \lim_{i \rightarrow \infty} Y_{M^\#}(g_i) < Y(M, g).$$

Choose Yamabe minimizer  $\tilde{g}_i \in [g_i]$ .

After passing to a subsequence, then for some  $p_i \in M^\#$

$$(M^\#, \tilde{g}_i, p_i) \rightarrow (N, h, p_\infty)$$

in the pointed Gromov-Hausdorff- $C^\infty$ -sense.

- ▶ Either: after removing singularities from  $(N, h, p_\infty)$  we get  $(M, g)$ ; then  $\lambda_\infty \geq Y(M, g)$ . ⚡
- ▶ Or  $(N, h, p_\infty)$  is in a well-controlled family of model spaces.  
 $\leadsto \Lambda_{n,k}$



# Sketch of Proof for Theorem B

Theorem B (A. 2022, in prep.)

*The map  $\mathcal{GL} : Y_M^{-1}((\lambda, \infty)) \rightarrow Y_{M^\#}^{-1}((\lambda, \infty))$  is a (weak) homotopy equivalence for  $2 \leq k \leq n - 3$ .*

## Well-definedness

Roughly as the proof of Theorem A, but in families.

## Weak homotopy equivalence

Split the construction in several steps,  $\mathcal{R}_{>\lambda}(M) := Y_M^{-1}((\lambda, \infty))$

**Step no. 1** :  $\mathcal{GL}_1$  makes the normal exponential maps coincide for all metrics in compact family, and cuts off the lower order terms.

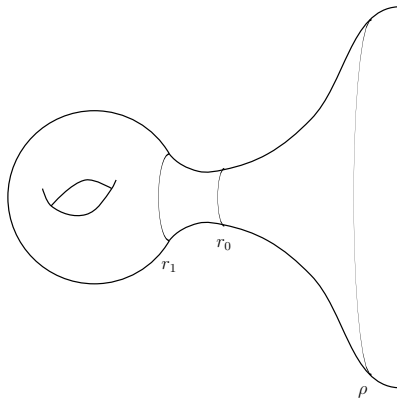
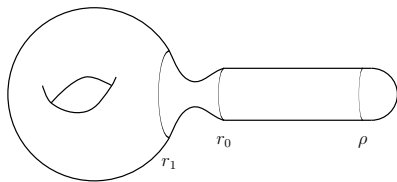
$\mathcal{GL}^1 : \mathcal{R}_{>\lambda}(M) \rightarrow \mathcal{R}_{>\lambda}^{S^k, \epsilon}(M)$  is a homotopy inverse to the inclusion  $\mathcal{R}_{>\lambda}^{S^k, \epsilon}(M) \hookrightarrow \mathcal{R}_{>\lambda}(M)$





**Step no. 2** Make a conformal deformation that makes the metrics in the normal direction of torpeda type. Obviously this step does not affect the Yamabe constant. Thus trivially we have a homotopy equivalence:

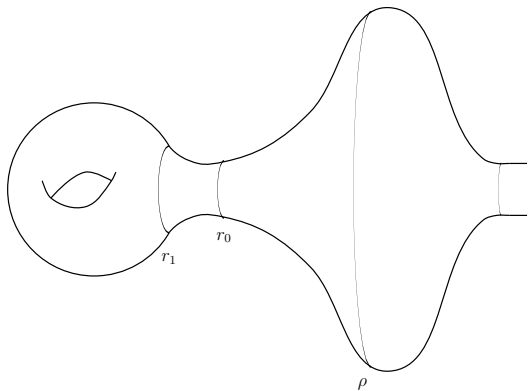
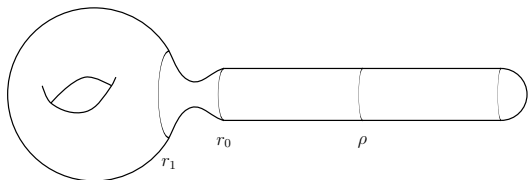
$$\mathcal{GL}^2 : \mathcal{R}_{>\lambda}^{S^k, \epsilon}(M) \rightarrow \mathcal{R}_{>\lambda}^{S^k, \epsilon, \text{infl-torp}}(M)$$



$g_r = g$        $g_r = F^2 g$

**Step no. 3** Slow down the inflation in tangential direction slowly. One analyses certain blow-up limits in analogy to the proof of Theorem A. Then remove the curvature of the normal bundle of  $S$ . Below  $\Lambda_{n,k}$  one obtains a homotopy equivalence.

$$\mathcal{GL}^3 : \mathcal{R}_{>\lambda}^{S^k, \epsilon, \text{infl-torp}}(M) \rightarrow \mathcal{R}_{>\lambda}^{S^k, \epsilon', \text{torp,prod}}(M)$$



$\xrightarrow{g_\rho = g}$ 
 $\xrightarrow{g_\rho = F^2 g}$

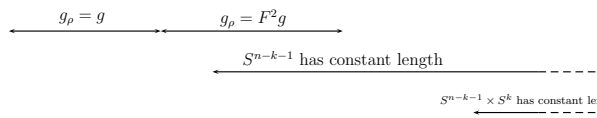
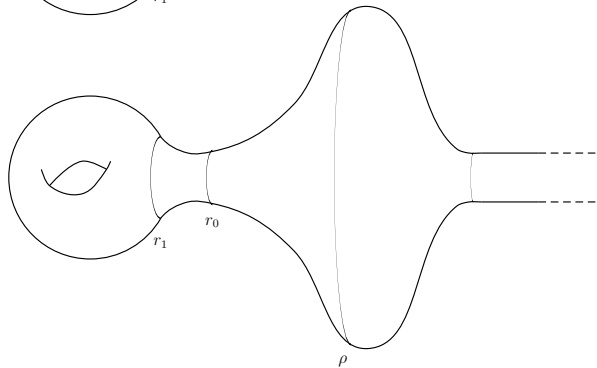
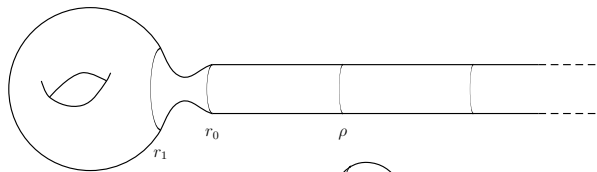
$S^{n-k-1}$  has constant length

**Step no. 4** Let the torpedos go to infinity. We get a convergence against manifolds with an end isometric (in a standard way) to

$$\left( S^k \times S^{n-k-1} \times [0, \infty), \mu_1 g_{\text{round}}^k + \mu_2 g_{\text{round}}^{n-k-1} + dt^2 \right).$$

Get a homotopy equivalence

$$\mathcal{GL}^4 : \mathcal{R}_{>\lambda}^{S^k, \epsilon', \text{torp}, \text{prod}}(M) \rightarrow \mathcal{R}_{>\lambda}^{S^k \times S^{n-k-1}, \text{std}}(M \setminus \iota(S^k \times 0)).$$



With

$$M \setminus \iota(S^k \times 0) \cong M^\# \setminus \iota^\#(S^{n-k-1} \times 0)$$

we get

$$\mathcal{R}_{>\lambda}^{S^k \times S^{n-k-1}, std}(M \setminus \iota(S^k \times 0)) \cong \mathcal{R}_{>\lambda}^{S^{n-k-1} \times S^k, std}(M^\# \setminus \iota^\#(S^{n-k-1} \times 0)),$$

and this completes the proof. □

Thanks for the attention.



## Conjecture #1:

▶ Back

$$Y(\mathbb{H}_c^{k+1} \times \mathbb{S}^{n-k-1}) \geq Y(\mathbb{R}^{k+1} \times \mathbb{S}^{n-k-1})$$

## Conjecture #2:

The infimum in the definition of  $Y(\mathbb{H}_c^{k+1} \times \mathbb{S}^{n-k-1})$  is attained by an  $O(k+1) \times O(n-k)$  invariant function if  $0 \leq c < 1$ .

$O(n-k)$ -invariance is difficult,

$O(k+1)$ -invariance follows from standard reflection methods

## Comments

If we assume Conjecture #2, then Conjecture #1 reduces to an ODE and  $Y(\mathbb{H}_c^{k+1} \times \mathbb{S}^{n-k-1})$  can be calculated numerically.

Assuming Conjecture #2, a maple calculation confirmed

Conjecture #1 for all tested  $n$ ,  $k$  and  $c$ .

The conjecture **would** imply:

$$\sigma(\mathbb{S}^2 \times \mathbb{S}^2) \geq \Lambda_{4,1} = 59.4\dots$$

Compare this to

$$Y(\mathbb{S}^4) = 61.5\dots$$

$$Y(\mathbb{S}^2 \times \mathbb{S}^2) = 50.2\dots$$

$$\sigma(\mathbb{C}P^2) = 53.31\dots$$



# More values for $\Lambda_{n,k}$ [▶ Back](#)

$n$	$k$	$\Lambda_{n,k} \geq$ known	$\Lambda_{n,k} =$ conjectured	$Y(\mathbb{S}^n)$
3	0	43.8	43.8	43.8
4	0	61.5	61.5	61.5
4	1	38.9	59.4	61.5
5	0	78.9	78.9	78.9
5	1	56.6	78.1	78.9
5	2	45.1	75.3	78.9
6	0	96.2	96.2	96.2
6	1	$> 0$	95.8	96.2
6	2	54.7	94.7	96.2
6	3	49.9	91.6	96.2
7	0	113.5	113.5	113.5
7	1	$> 0$	113.2	113.5
7	2	74.5	112.6	113.5
7	3	74.5	111.2	113.5
7	4	$> 0$	108.1	113.5

$n$	$k$	$\Lambda_{n,k} \geq$ known	$\Lambda_{n,k} =$ conjectured	$Y(\mathbb{S}^n)$
8	0	130.7	130.7	130.7
8	1	> 0	130.5	130.7
8	2	92.2	130.1	130.7
8	3	95.7	129.3	130.7
8	4	92.2	127.9	130.7
8	5	> 0	124.7	130.7
9	0	147.8	147.8	147.8
9	1	109.2	147.7	147.8
9	2	109.4	147.4	147.8
9	3	114.3	146.9	147.8
9	4	114.3	146.1	147.8
9	5	109.4	144.6	147.8
9	6	> 0	141.4	147.8

$n$	$k$	$\Lambda_{n,k} \geq$ known	$\Lambda_{n,k} =$ conjectured	$Y(\mathbb{S}^n)$
10	0	165.0		165.02
10	1	102.6		165.02
10	2	126.4		165.02
10	3	132.0		165.02
10	4	133.3		165.02
10	5	132.0		165.02
10	6	126.4		165.02
10	7	> 0		165.02
11	0	182.1		182.1
11	1	> 0		182.1
11	2	143.3		182.1
11	3	149.4		182.1
11	4	151.3		182.1
11	5	151.3		182.1
11	6	149.4		182.1
11	7	143.3		182.1
11	8	> 0		182.1