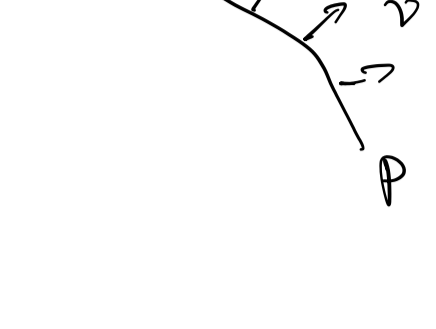


Recall from last lecture:

- Jacobi field equation $\frac{\nabla^2 J}{dt^2} + R(J, \dot{c})\dot{c} = 0$ for a v.f. J along a geodesic c has $2 \cdot \dim(M)$ dimensional space of solutions
- causes geod. variations of c with var. v.f. J
- On model spaces, Jacobi fields are given by generalized sine and cosine fns.

Today: Focal points.

Let $P \subset M$ be a semi-Riem. subman. $\nu \perp TP$ normal vector ($\nu \in NP = (TP)^\perp \subset TM|_P$)



Shape operator u.r.f. $\mathcal{S}_\nu(x) := g(\nu, \tilde{I}(X, -)) \in TP$

i.e. $g(\mathcal{S}_\nu(X), Y) = g(\nu, \tilde{I}(X, Y)) \quad \forall X \in TP, Y \in TP$

$\leadsto \mathcal{S}_\nu: TP \rightarrow TP$

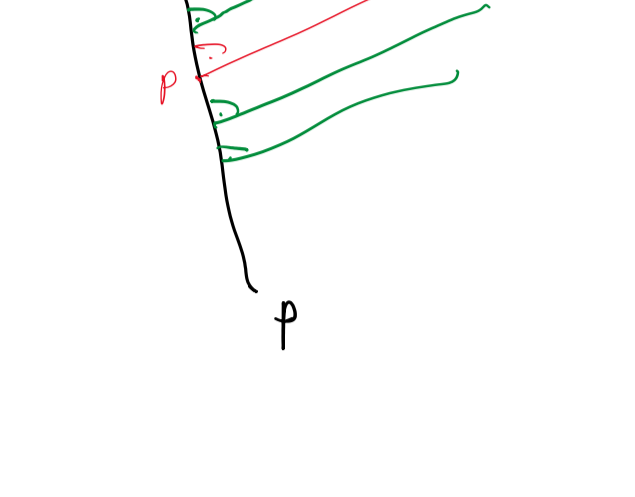
Lemma 3.2.24 $P \subset M$ semi-Riem. subman., $p \in P$, c geod. of M , $c(0) = p$, $\dot{c}(0) \in N_p P = (T_p P)^\perp$

For a Jacobi field J , TFAE

(i) J is a var. v.f. of a geod. var. c_s of c with

$c_s(0) \in P \quad \forall s$

$\dot{c}_s(0) \in N_{c(s)} P \quad \forall s$



(ii) $J(0) \in TP$

$\pi^* \text{tr} \left(\frac{\nabla J}{dt}(0) \right) = -\mathcal{S}_{\dot{c}(0)}(J(0))$

↑ ork. prog. $TM_p \rightarrow TP$

Def: (i) \Leftrightarrow (ii) $\gamma(s) := c_s(0)$ is a curve in P

$\Rightarrow J(0) = \frac{d}{ds} \Big|_{s=0} c_s(0) = \gamma'(0) \in TP$

Let $X \in X(P)$ and show $g\left(\frac{\nabla J}{dt}(0), X\right) \stackrel{!}{=} g(-\mathcal{S}_{\dot{c}(0)}(J(0)), X) = -g(\dot{c}(0), \tilde{I}(J(0), X)) = -g(\dot{c}(0), \nabla_{J(0)} X)$

$$\begin{aligned} 0 &= \frac{d}{ds} \Big|_{s=0} g(X, \dot{c}_s(0)) = g\left(\frac{\nabla X}{ds} \Big|_{s=0}, \dot{c}_s(0)\right) + g\left(X, \frac{\nabla \dot{c}_s}{ds} \Big|_{s=0}\right) \\ &= \frac{\nabla}{dt} \Big|_{t=0} \frac{dc_s(t)}{ds} \Big|_{s=0} = \frac{\nabla J}{dt}(0) \\ &= g\left(\nabla_{\frac{\gamma'(0)}{J(0)}} X, \dot{c}(0)\right) + g\left(X, \frac{\nabla J}{dt}(0)\right) \quad \checkmark \end{aligned}$$

(i) \Rightarrow (ii) Choose a curve γ in P with $\gamma(0) = p$, $\gamma'(0) = J(0) \in TP$

We will define $c_s(t) := \exp_{\gamma(s)}(t \cdot X(s))$ for a suitable X along γ .

Denote by \tilde{J} the var. v.f. of c_s .

Note $\tilde{J}(0) = \frac{dc_s(0)}{ds} \Big|_{s=0} = \gamma'(0) = J(0)$.

We must have

$\dot{c}(0) = \dot{c}_s(0) = \frac{d}{dt} \Big|_{t=0} \exp_{\gamma(s)}(t \cdot X(0)) = X(0) \quad (1)$

$\frac{\nabla J}{dt}(0) \stackrel{!}{=} \frac{\nabla \tilde{J}}{dt}(0) = \frac{\nabla}{dt} \Big|_{t=0} \frac{dc_s(t)}{ds} \Big|_{s=0} = \frac{\nabla}{ds} \Big|_{s=0} \dot{c}_s(0) = \frac{\nabla}{ds} \Big|_{s=0} X(s) = \frac{\nabla X}{ds}(0) \quad (2)$

Consider the normal bundle $NP \rightarrow P$ with connection $\nabla_X^{\text{hor}} Y := \pi^* \text{tr} \left(\frac{\nabla_X Y}{\pi^* P} \right) \in \pi^* NP$ v.f. of TP along P

Let U be the parallel transport of $\dot{c}(0)$ in $(NP, \nabla^{\text{hor}})$ along γ

$V = \pi^* \text{tr} \left(\frac{\nabla J}{dt}(0) \right)$

Set $X(s) := U(s) + s \cdot V(s)$.

Then, one has $X(0) = U(0) = \dot{c}(0) \quad (1)$

$\pi^* \text{tr} \left(\frac{\nabla X}{ds}(0) \right) = \pi^* \text{tr} \left(\frac{\nabla U}{ds}(0) \right) + \pi^* \text{tr} \left(V(0) \right) = \pi^* \text{tr} \left(\frac{\nabla J}{dt}(0) \right) \quad \pi^* \text{tr} \circ (2)$

$\pi^* \text{tr} \left(\frac{\nabla X}{ds}(0) \right) = \pi^* \text{tr} \left(\frac{\nabla U}{ds}(0) \right) + \pi^* \text{tr} \left(V(0) \right) = \frac{\nabla U}{ds}(0) \quad (3)$

Remains to show $\pi^* \text{tr} \circ (2) \stackrel{(3)}{\Leftrightarrow} \frac{\nabla U}{ds}(0) = \pi^* \text{tr} \left(\frac{\nabla J}{dt}(0) \right) \stackrel{\text{def.}}{=} -\mathcal{S}_{\dot{c}(0)}(J(0))$.

Let $Y \in X(P)$.

$$\begin{aligned} g\left(\frac{\nabla U}{ds}(0), Y\right) &\stackrel{U \perp Y}{=} -g\left(\underbrace{U(0)}_{=\dot{c}(0)}, \underbrace{\nabla_{\gamma'(0)} Y}_{=\tilde{I}(Y'(0), Y)}\right) \\ &= g\left(-\mathcal{S}_{\dot{c}(0)}(J(0)), Y\right) \quad \checkmark \end{aligned}$$

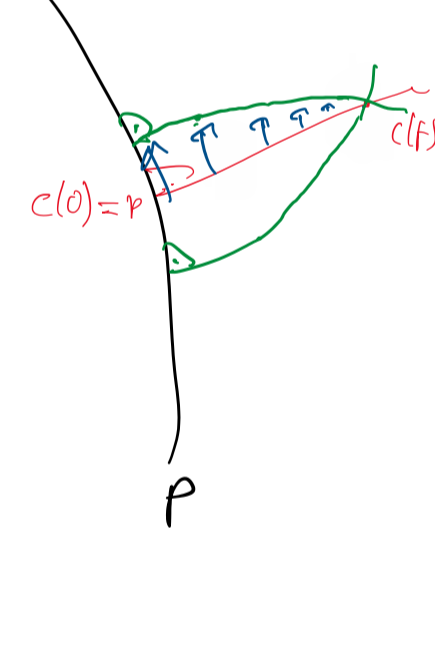
□

Def 3.2.25

$P \subset M$ semi-Riem. subman., $p \in P$, c geod. in M , $c(0) = p$, $\dot{c}(0) \in N_p P$

Then P has a focal point of order μ along c at t ($t \neq 0$) if

$$\mu := \dim \left\{ \text{Jacobi fields } J \text{ along } c \mid \begin{cases} J(0) \in TP \\ \pi^* \text{tr} \left(\frac{\nabla J}{dt}(0) \right) = -\mathcal{S}_{\dot{c}(0)}(J(0)) \\ J(t) = 0 \end{cases} \right\} > 0$$



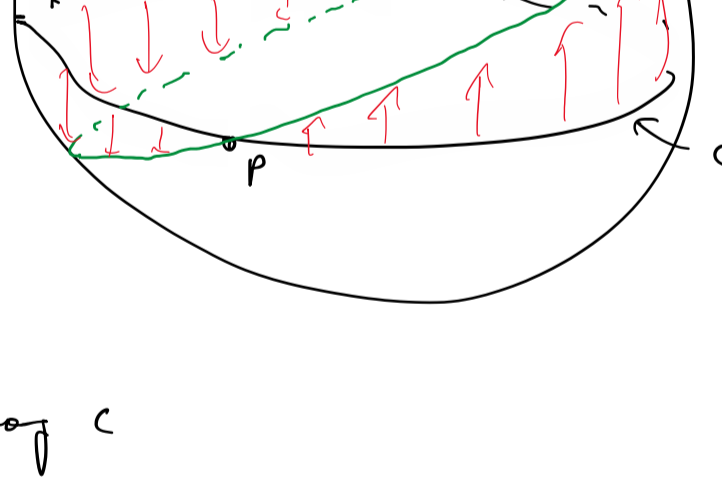
In this case, t is called focal value of P along c .

If $P = \{p\}$ is a point, then a focal point is called conjugated point.

Examples 3.2.26

1. $M = S^n$, $P = \{p\}$

c geod. through P



We know that

$J(t) = \sin(t) E(t)$ for $E \perp \dot{c}$ is parallel along c

is a Jacobi field

$J(0) = 0 = J(t\pi)$ for $t \in \mathbb{Z} \leadsto t = t\pi$ for $t \in \mathbb{Z} \setminus \{0\}$ is a conjugated point

of order $\mu = \dim \{E \perp \dot{c}\} = n-1$

Prop 3.2.27 $\mathcal{V} := \{ \text{Jacobi fields } J \text{ along } c \mid J(0) \in TP \text{ and } \pi^* \text{tr} \left(\frac{\nabla J}{dt}(0) \right) = -\mathcal{S}_{\dot{c}(0)}(J(0)) \}$

$\dim \mathcal{V} = \dim \{ (J, J') \in TP \times TM \mid J \in TP \text{ and } \pi^* \text{tr} \left(\frac{\nabla J}{dt}(0) \right) = -\mathcal{S}_{\dot{c}(0)}(J) \}$

$= \dim(P) + (\dim(M) - \dim(P)) = \dim(M) =: n$

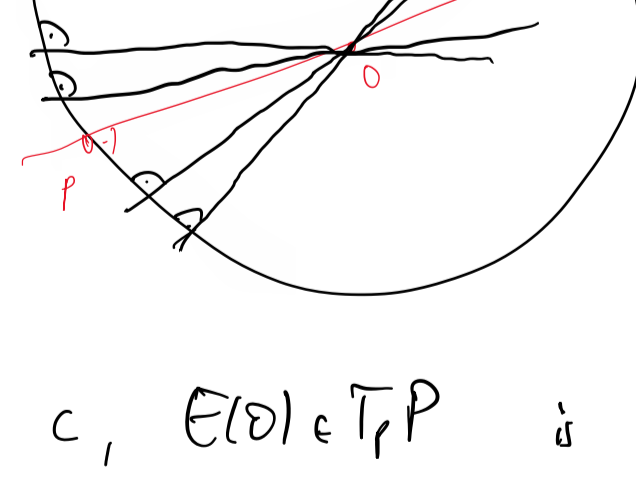
$\mu = \dim \{ J \in \mathcal{V} \mid J(t) = 0 \} \leq n-1$

Upshot: The order is maximal in ex. 1.

2. $M = \mathbb{R}^n$, $P = S^{n-1} \ni p$

c geod. through p and 0

given by $c(t) = (1-t)p$



$J(t) = (1-t)E(t)$, where E parallel along c , $E(0) \in TP$ is a Jacobi field with

$\pi^* \text{tr} \left(\frac{\nabla J}{dt}(0) \right) = \pi^* \text{tr} \left(-E(0) \right) = -E(0) = -\mathcal{S}_{\dot{c}(0)}(E(0))$

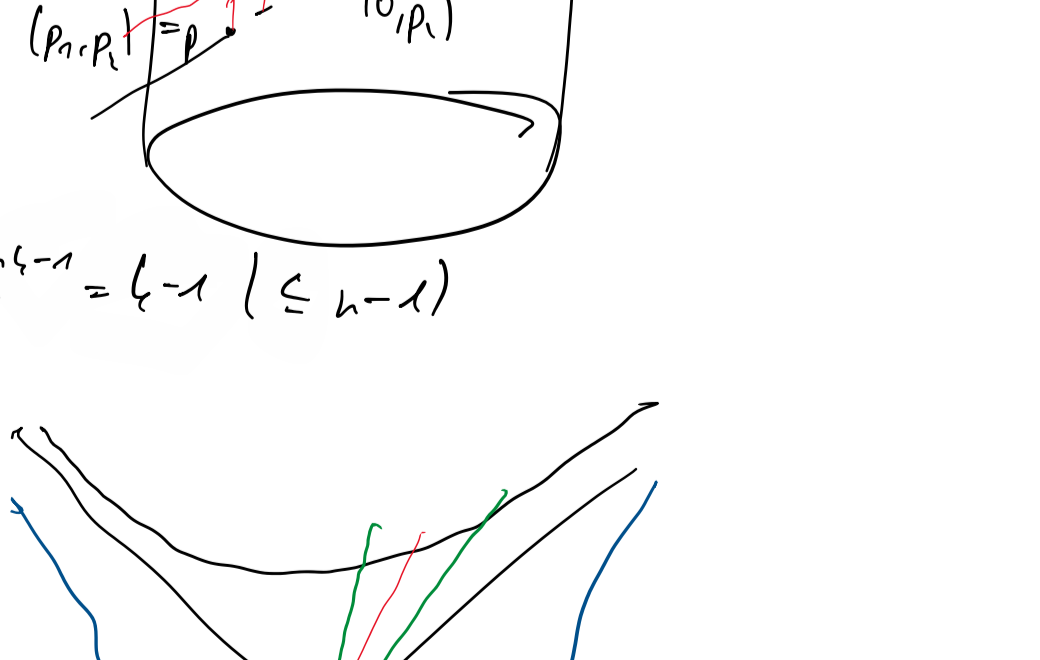
As $J(1) = 0$, $t=1$ is a focal pt of order $\mu = \dim P = n-1$.

2'. $M = \mathbb{R}^n$, $P = S^{l-1} \times S^{n-l} \ni (p_1, p_2)$

$c(t) := (1-t)p_1 + t p_2$

Similarly as in ex 2, get that

$t=1$ is a focal pt of order $\mu = \dim S^{l-1} = l-1 \leq n-1$



no focusing behavior in this direction

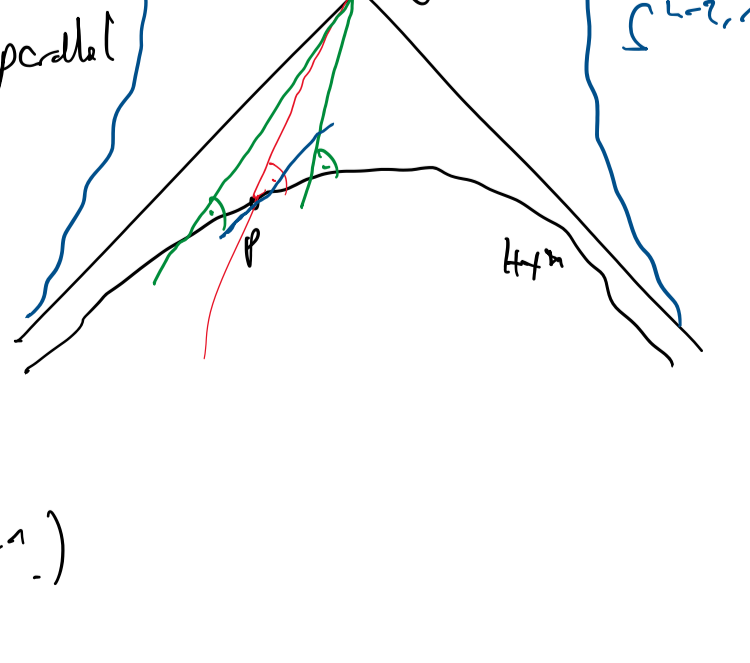
3. $M = \mathbb{R}^{k,n-k}$, $P = H^{k,n-k} \ni p$

$c(t) = (1-t)p$ geod. through p and 0

As in ex 2, $J(t) = (1-t)E(t)$ with E parallel along c , $E(0) \in TP$

shows that $t=1$ is a focal pt of order $\mu = n-1$.

(The same also works for $S^{k-1,n-k} \subset \mathbb{R}^{k,n-k}$.)



Prop 3.2.28 (M, g) Lor. mfd, $P \subset M$ spacelike subman., $c: [0, b] \rightarrow M$ lightlike geod.

$c(0) = p$, $\dot{c}(0) \in N_p P$, $g = c(b)$

If P has a focal pt along c before γ (i.e. for $t \in (0, b)$), the every nbhd of c in cpt-open top. contains a timelike curve from p to γ .